

# Hawkamah holds Seminar on 'Integrity at Work in Financial Services'



The Hawkamah Institute for Corporate Governance (Hawkamah) and the Securities & Investment Institute (SII), the largest and most widely respected professional body for those who work in the securities and investment industry in the UK, today hosted a seminar on 'Integrity at Work in Financial Services' at the DIFC.

The seminar provided a comprehensive guide to the importance of integrity at work and explained the connection between Corporate Governance and ethics to brokers working in the financial industry. As part of the event, participants were introduced to SII's book on 'Integrity at Work in Financial Services'. The book offers a practical guide to trust and integrity dilemmas faced by professionals working in the financial services industry.

Abdulla Al Awar, Managing Director of the DIFC Authority said:

“It was a pleasure to host this valuable event in partnership with SII. The primary objective of the seminar was to raise awareness and understanding of integrity at work among bankers and brokers and to explain the connection between corporate governance and ethics.

“We believe SII’s recently published book ” Integrity at Work” provides a practical guide for professionals in the financial services industry and was distributed to all participants,” said Mr Al Awar

Dr Nasser Saidi, Executive Director of Hawkamah said: “Growth of our region’s financial industry and markets depends on the confidence of investors in the integrity of the markets. Hawkamah is committed to ensuring that every professional working within the industry recognises their obligation to implementing good corporate governance to ensure integrity. The seminar provided an ideal opportunity for leading brokers in the region to improve their understanding of the principles of corporate governance and the importance of ethics, honesty and integrity in the workplace.”

Simon Culhane, Chief Executive of SII said: “We believe that following a code of ethics and working with integrity are vital if we wish to foster a culture of trust in the financial sector in the UAE. This seminar was an important step towards establishing an environment of transparency and accountability, starting in Dubai.”

Nazem Kudsi, Chief Investment Officer NBAD: “As corporate governance standards continue to improve across the region, events such as this offer an ideal opportunity for industry professionals to further their knowledge of the practices and procedures that are essential to ensure transparent, ethical operations within the region’s financial organisations.”

The Seminar, which was held at the Dubai International Financial Centre, was attended by Bankers, DIFC Registered Companies, Brokers and financial service Industry experts. The speakers included Abdulla Al Awar, MD, DIFC, Dr Nasser Saidi, Executive Director Hawkamah, Simon Culhane Chief Executive SII and Nazem Al Kudsi, Chief Investment Officer NBAD.

The Securities & Investment Institute (SII) is the largest and most widely respected professional body for those who work in the securities and investment industry in the UK. Formed as the “Securities Institute” in 1992 by the members of the London Stock Exchange the Institute changed its name in November 2004.

SII’s mission is to set standards of professional excellence and integrity for the investment and securities industry, providing qualifications and promoting the highest level of competence to their members, other individuals and firms.

